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PTO/SB/08a/b (06-03)

2175  
11-18-03

Substitute for form 1449A/B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/086964
(Use as many sheets as necessary)				Filing Date	February 28, 2002
Sheet	1	of	1	First Named Inventor	Thomas Baudel
				Art Unit	2175
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	ILOC-P01-001
					NOV 14 2003

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Technology Center 2100

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

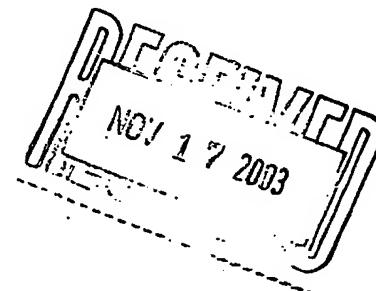
\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T <sup>2</sup>
MM	CA	STOLTE, Chris, et al. "Polaris: A System for Query, Analysis, and Visualization of Multidimensional Relational Databases", IEEE Transactions on Visualization and Computer Graphics, Vol. 8, No. 1, pages 1-13, January-March 2002				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.



Examiner Signature 9298405_1	<i>May G</i>	Date Considered	9/7/04
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2/28/02

#2

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))		Docket No ILOC-P01-001
In Re Application Of: Thomas Baudel		
Serial No. Not Yet Assigned	Filing Date February 28, 2002	Examiner Not Yet Assigned
Group Art Unit Not Yet Assigned		
Title: INTERACTIVE GENERATION OF GRAPHICAL VISUALIZATIONS OF LARGE DATA STRUCTURES		
<p>Address to Assistant Commissioner for Patents Washington, D.C. 20231</p> <p style="text-align: right;">JC857 U.S. PTO 10/086964 02/28/02</p>		
37 CFR 1.97(b)		
<p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.</p>		
<p> _____ Signature</p>		
<p>Wolfgang E. Stutius, Reg. No. 40,256 Ropes &amp; Gray Patent Group One International Place Boston, MA 02110 Telephone: 617-951-7000 Fax: 617-951-7050 Customer No. 28120</p>		
<p>Dated: February 28, 2002</p>		

Form PTO-1449 <b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>			Docket Number (Optional) ILOC-P01-001		Application Number Not Yet Assigned	
			Applicant Thomas Baudel			
			Filing Date February 28, 2002		Group Art Unit Not Yet Assigned	
			<b>U.S. PATENT DOCUMENTS</b>			

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
WW	AA 6,300,957	10/09/01	Rao et al.			10/09/01
	AB 6,252,597	6/26/01	Lokuge			10/09/01
	AC 6,243,093	6/5/01	Czerwinski et al.			10/09/01
	AD 6,232,984	5/15/01	Chuah et al.			10/09/01
	AE 6,223,145	4/24/01	Hearst			10/09/01
	AF 6,199,098	3/6/01	Jones et al.			10/09/01
	AG 6,182,058	1/30/01	Kohavi			10/09/01
	AH 6,154,212	11/28/00	Eick et al.			10/09/01
	AI 6,151,595	11/21/00	Pirolli et al.			10/09/01
	AJ 6,137,499	10/24/00	Tesler			10/09/01
	AK 6,125,375	9/26/00	Atkins et al.			10/09/01
	AL 6,075,536	6/13/00	Kunieda et al.			10/09/01
	AM 6,075,530	6/13/00	Lucas et al.			10/09/01
	AN 6,034,697	3/7/00	Becker			10/09/01
	AO 6,026,409	2/15/00	Blumenthal			10/09/01
	AP 6,026,399	2/15/00	Kohavi et al.			10/09/01
	AQ 6,023,280	2/8/00	Becker et al.			10/09/01
	AR 6,014,661	1/11/00	Ahlberg et al.			10/09/01
	AS 5,973,693	10/26/99	Light			10/09/01
	AT 5,960,435	9/28/99	Rathmann et al.			10/09/01
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	AV 5,930,803	7/27/99	Becker et al.			10/09/01
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	AY 5,831,631	11/3/98	Light et al.			10/09/01
	AZ 5,812,134	9/22/98	Pooser et al.			10/09/01
WW	BA 5,793,369	8/11/98	Atkins et al.			10/09/01

Form PTO-1449 <b>INFORMATION DISCLOSURE CITATION</b> IN AN APPLICATION <i>(Use several sheets if necessary)</i>			Docket Number (Optional) ILOC-P01-001		Application Number Not Yet Assigned		
			Applicant Thomas Baudel				
			Filing Date February 28, 2002		Group Art Unit Not Yet Assigned		
ur	BB	5,751,931	5/12/98	Cox et al.			
ur	BC	5,689,628	11/18/97	Robertson			
ur	BD	5,608,850	3/4/97	Robertson			
ur	BE	Pub. No.: US 2001/0049695 A1	Pub. Date: 12/6/01	US Patent Application Publication: Chi et al.			
ur	BJ	6,236,994	05/22/01	Swartz, et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages Etc.)</i>							
ur	BF	Bruls, et al. "Squarified Treemaps." In W. de Leeuw, R. van Liere (eds.), Data Visualization 2000. <i>Proceedings of the Joint Eurographics and IEEE TCVG Symposium on Visualization</i> , Springer, Vienna, 33-42 (2000).					
ur	BG	<a href="http://www.inxight.com/about/">http://www.inxight.com/about/</a> , 2/13/02.					
ur	BH	<a href="http://www.spotfire.com/about/">http://www.spotfire.com/about/</a> , 2/13/02.					
ur	BI	<a href="http://www.visualinsights.com/base_pages/mainhtml.asp?level1=one&amp;level2=one&amp;picked=1-1">http://www.visualinsights.com/base_pages/mainhtml.asp?level1=one&amp;level2=one&amp;picked=1-1</a> , 2/13/02					
EXAMINER	<i>Wayne Amrhein</i>			DATE CONSIDERED <i>9/7/04</i>			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							

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